



best Available Copy

PATENT
P6202
0045.0018

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
Mark A. Carlson, et al.
Serial No.: 09/928,128
Filed: August 10, 2001
For: METHOD, SYSTEM, AND PROGRAM FOR
GENERATING AND USING CONFIGURATION
POLICIES

) Examiner: Not Yet Assigned

) Art Unit: 2152

COPY OF PAPERS
ORIGINALLY FILED

**TRANSMITTAL OF PETITION TO CORRECT OMITTED ITEM(S) IN A NONPROVISIONAL
APPLICATION FILED UNDER 37 CFR 1.53(b)**

Box MISSING PARTS
Asst. Commissioner for Patents
Washington, D.C. 20231

RECEIVED

MAR 21 2002

Technology Center 2100

Dear Sirs:

Enclosed herewith is a Petition for the above-identified application, per the Patent Office Notice of Omitted Item(s) in a Nonprovisional Application dated September 18, 2001 (copy enclosed). Also enclosed please find a check in the amount of \$130 in payment of the Surcharge Fee.

Please charge any additional fees, or credit any overpayments, to Deposit Account No. 05-5085. A duplicate of this petition is enclosed.

Respectfully submitted,

David W. Victor
Reg. No. 39,867
KONRAD RAYNES VICTOR & MANN LLP
315 S. Beverly Dr. #210
Beverly Hills, CA 90212
(310) 556-7983

Dated: November 13, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed: Assistant Commissioner for Patents, Washington, D.C. 20231, on November 13, 2001.

David W. Victor

11/13/01
Date

Best Available Copy

PATENT
P6202
0045.0018

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
Mark A. Carlson, et al.
Serial No.: 09/928,128
Filed: August 10, 2001
For: METHOD, SYSTEM, AND PROGRAM FOR
GENERATING AND USING CONFIGURATION
POLICIES

) Examiner: Not Yet Assigned

) Art Unit: 2152

COPY OF PAPER
ORIGINALLY FILED

TRANSMITTAL OF PETITION TO CORRECT OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION FILED UNDER 37 CFR 1.53(b)

RECEIVED

Box MISSING PARTS
Asst. Commissioner for Patents
Washington, D.C. 20231

MAR 21 2002

Technology Center 2600

Dear Sirs:

Enclosed herewith is a Petition for the above-identified application, per the Patent Office Notice of Omitted Item(s) in a Nonprovisional Application dated September 18, 2001 (copy enclosed). Also enclosed please find a check in the amount of \$130 in payment of the Surcharge Fee.

Please charge any additional fees, or credit any overpayments, to Deposit Account No. 05-5085. A duplicate of this petition is enclosed.

Respectfully submitted,

COPY

David W. Victor
Reg. No. 39,867
KONRAD RAYNES VICTOR & MANN LLP
315 S. Beverly Dr. #210
Beverly Hills, CA 90212
(310) 556-7983

Dated: November 13, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed: Assistant Commissioner for Patents, Washington, D.C. 20231, on November 13, 2001.

David W. Victor

11/13/01

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Mark A. Carlson, et al.	Examiner:	Not Yet Assigned
Serial No.:	09/928,128	Group Art Unit:	2152
Filed:	August 10, 2001	Docket No.:	P6202
TITLE:	METHOD, SYSTEM, AND PROGRAM FOR GENERATING AND USING CONFIGURATION POLICIES		

COPY OF PAPERS
ORIGINALLY FILED

#5

PETITION TO CORRECT OMITTED ITEM(S) IN A NONPROVISIONAL
APPLICATION FILED UNDER 37 CFR 1.53(b)

RECEIVED

Assistant Commissioner for Patents
Washington, D.C. 20231

MAR 21 2002

Best Available Copy

Technology Center 2000

Dear Sir:

This Petition is submitted in response to a Notice of Omitted Items in a Nonprovisional Application dated September 18, 2001 received in the above case. Applicants inadvertently made reference to Fig. 14 in the description of the drawings. There is no Fig. 14, and no further reference of Fig. 14 is made in the subsequent specification. Therefore, please substitute the below description of the drawings.

Amendments to the Description of the Drawings is shown in the attached "Version to Show Markings of Changes Made" submitted pursuant to 37 CFR 1.121.

IN THE SPECIFICATION:

Please substitute paragraph 0015 on page 5 of the Application with the following paragraph 0015:

[0015] Referring now to the drawings in which like reference numbers represent corresponding parts throughout:

FIG. 1 illustrates a network computing environment for one implementation of the invention;

FIG. 2 illustrates a component architecture in accordance with certain implementations of the invention;

Best Available Copy

Serial No. 09/928,128
Docket No. P6202
Firm No. 0045,0018

FIG. 3 illustrates a component architecture for a storage network in accordance with certain implementations of the invention;

FIG. 4 illustrates logic to invoke a configuration operation in accordance with certain implementations of the invention;

FIG. 5 illustrates logic to configure network components in accordance with certain implementations of the invention;

FIG. 6 illustrates further components within the administrator to define and execute configuration policies in accordance with certain implementations of the invention;

FIGs. 7-8 illustrate GUI panels through which a user invokes a configuration policy to configure and allocate resources to provide storage space in accordance with certain implementations of the invention;

FIGs. 9-10 illustrate logic implemented in the configuration policy tool to enable a user to invoke and use a defined configuration policy to allocate and configure system resources in accordance with certain implementations of the invention;

FIGs. 11-12 illustrate graphical user interface (GUI) panels through which a user may define a configuration policy in accordance with certain implementations of the invention; and

FIG. 13 illustrates logic implemented in a configuration policy tool to enable a user to create and define a configuration policy.

Best Available Copy

Conclusion

For all the above reasons, Applicant submits that the application is now in condition for consideration. Applicants submits the \$130 petition fee herewith. Should any additional fees be required, please charge Deposit Account No. 05-5080.

The attorney of record invites the Examiner to contact him at (310) 553-7977 if the Examiner believes such contact would advance the prosecution of the case.

Dated: November 13, 2001

By: 

David W. Victor
Reg. No.: 39,867

Please direct all correspondences to:

David Victor
Konrad Raynes Victor & Mann LLP
315 South Beverly Drive, Ste. 210
Beverly Hills, CA 90212
Tel: 310-553-7977
Fax: 310-556-7984

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed: Assistant Commissioner for Patents, Washington, D.C. 20231, on November 13, 2001.



David W. Victor

11/13/01
Date

Best Available Copy

Serial No. 09/928,128

Docket No. P6202

Firm No. 0045.0018

VERSION WITH MARKINGS TO SHOW CHANGES MADE

IN THE SPECIFICATION:

Paragraph 0015 on page 5 of the Application is amended as follows:

[0015] Referring now to the drawings in which like reference numbers represent corresponding parts throughout:

FIG. 1 illustrates a network computing environment for one implementation of the invention;

FIG. 2 illustrates a component architecture in accordance with certain implementations of the invention;

FIG. 3 illustrates a component architecture for a storage network in accordance with certain implementations of the invention;

FIG. 4 illustrates logic to invoke a configuration operation in accordance with certain implementations of the invention; [and]

FIG. 5 illustrates logic to configure network components in accordance with certain implementations of the invention;[.]

FIG. 6 illustrates further components within the administrator to define and execute configuration policies in accordance with certain implementations of the invention;

FIGs. 7-8 illustrate GUI panels through which a user invokes a configuration policy to configure and allocate resources to provide storage space in accordance with certain implementations of the invention; [and]

FIGs. 9-10 illustrate logic implemented in the configuration policy tool to enable a user to invoke and use a defined configuration policy to allocate and configure system resources in accordance with certain implementations of the invention;

-5-
Best Available Copy

Serial No. 09/928,128
Docket No. P6202
Firm No. 0045.0018

FIGs. 11-12 illustrate graphical user interface (GUI) panels through which a user may define a configuration policy in accordance with certain implementations of the invention; and

FIG. 13[-14] illustrates logic implemented in a configuration policy tool to enable a user to create and define a configuration policy.[];



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/928,128	08/10/2001	Mark A. Carlson	P6202

CONFIRMATION NO. 6459

FORMALITIES LETTER

David W. Victor
KONRAD RAYNES & VICTOR
315 S. Beverly Drive, Suite 210
Beverly Hills, CA 90212

Best Available Copy



OC000000006571750

COPY OF PATENT
FORMALLY FILED

Date Mailed: 09/18/2001

NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Figure(s) Fig. 14 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit must be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date must be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.